# The OpenPose algorithm

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### Overview

- ► Realtime multi-person 2D pose estimation.
- Human 2D pose estimation: problem of localizing anatomical keypoints or parts.
  - ► From an image captured with RGB smartphone/tablet camera, use Deep Learning to estimate positions of body joints.
  - Convolutional Neural Network (CNN) that enables to predict location of joints of interest!
- Open source algorithm.

OpenPose: [Cao et al. '17, Cao et al. '21]

#### OpenPose - Main ideas

- Input: color image of size  $w \times h$ .
- Output: array of matrices, including:
  - A set of 2D Confidence Maps (CMs) S = (S<sub>1</sub>, S<sub>2</sub>,..., S<sub>J</sub>), where J is the number of parts, and S<sub>j</sub> ∈ ℝ<sup>w×h</sup>, j ∈ {1...J}. → They show the location of parts (e.g., wrist, elbow, knee, etc.).



A set of Part Affinity Fields (PAFs) L = (L<sub>1</sub>, L<sub>2</sub>,..., L<sub>C</sub>), where C is the number of limbs (or part pairs), and L<sub>c</sub> ∈ ℝ<sup>w×h×2</sup>, c ∈ {1...C}. → Set of 2D vector fields that encode the degree of association between parts.



# Pipeline



- Input: (a) color image of size  $w \times h$ .
- Convolutional Neural Network: jointly predicts (b) 2D CMs S for part detection and (c) 2D vector fields L of PAFs for part association.
- Parsing step: (d) performs a set of bipartite matchings to associate body part candidates in order to form limbs.
- Output: (e) assemble the 2D keypoints into full body poses for all people in the image.

## Network Architecture

#### Multi-stage convolutional architecture

• Iteratively predicts PAFs  $L^t$  (left branch) and CMs  $S^t$  (right).



► Iterative prediction architecture: refines the prediction over successive stages, t ∈ {1,...,T}, with intermediate supervision at each stage.

Iterative prediction architecture: [Wei et al. '16]

# Joint Detection and Association/1



- ► The image is analyzed by a CNN, giving a set of feature maps **F**.
- First stage:  $L^1 = \phi^1(F)$ , where  $\phi^1$  is the CNN for inference at Stage 1.
- Subsequent stages: concatenation of the PAF predictions L<sup>t-1</sup> and the original F to produce refined predictions:

$$\mathbf{L}^t = \phi^t(\mathbf{F}, \mathbf{L}^{t-1}), \quad 2 \leqslant t \leqslant T_P,$$

where  $\phi^t$  refers to CNNs for inference at Stage *t*, and  $T_P$  is the total number of PAFs stages.

# Joint Detection and Association/2



After T<sub>P</sub> iterations, the process is repeated for the CMs detection, starting with the most updated PAF prediction, L<sup>T<sub>P</sub></sup>:

$$\mathbf{S}^{T_P} = \rho^{T_P}(\mathbf{F}, \mathbf{L}^{T_P}),$$

$$\mathbf{S}^t = \rho^t(\mathbf{F}, \mathbf{L}^{T_P}, \mathbf{S}^{t-1}), \quad T_P < t \leqslant T_P + T_C,$$

where  $\rho^t$  refers to CNNs for inference at Stage *t*, and  $T_C$  is the total number of CM stages.

Remark: Refined PAF predictions improve the CM results (the opposite does not hold).

#### Loss Functions

- To guide the network to predict PAFs in the first branch and CMs in the second branch, we apply a loss function at the end of each stage.
- ► *L*<sub>2</sub> loss between estimated predictions and groundtruth fields and maps.
- Loss function of the PAF branch at stage t<sub>i</sub>:

$$f_{\mathbf{L}}^{t_i} = \sum_{\substack{c=1\\ \text{sum over}\\ \text{all limbs}}}^{C} \sum_{\mathbf{p}} \mathbf{W}(\mathbf{p}) \cdot \|\mathbf{L}_c^{t_i}(\mathbf{p}) - \mathbf{L}_c^*(\mathbf{p})\|_2^2.$$

Loss function of the CM branch at stage t<sub>k</sub>:

$$f_{\mathbf{S}}^{t_k} = \sum_{\substack{j=1\\\text{sum over}\\\text{all parts}}}^J \sum_{\mathbf{p}} \mathbf{W}(\mathbf{p}) \cdot \|\mathbf{S}_j^{t_k}(\mathbf{p}) - \mathbf{S}_j^*(\mathbf{p})\|_2^2.$$

- W is a binary mask with  $W(\mathbf{p}) = 0$  when the annotation is missing at the pixel  $\mathbf{p}$ .
- Overall objective:

$$f = \sum_{t=1}^{T_p} f_{\mathbf{L}}^t + \sum_{t=T_p+1}^{T_p+T_C} f_{\mathbf{S}}^t.$$

# Confidence Maps for Part Detection/1

- ► Training: to evaluate *f*<sub>S</sub>, generate groundtruth CMs S\* from the annotated 2D keypoints.
- Confidence map (CM): 2D representation of the belief that a particular body part can be located in any given pixel.
  - ► If single person in image: single peak should exist in each CM if the corresponding part *j* is visible.
  - If multiple people: there should be a peak corresponding to each visible part *j* for each person *k*:





#### Confidence Maps for Part Detection/2

For each person k, we generate individual groundtruth CMs  $\mathbf{S}_{i,k}^*$ 

$$\mathbf{S}_{j,k}^*(\mathbf{p}) = \exp\left(-\frac{\|\mathbf{p} - \mathbf{x}_{j,k}\|_2^2}{\sigma^2}\right),\,$$

with  $\mathbf{x}_{j,k}$  groundtruth position of body part *j* for person *k*,  $\sigma^2$  variance.

Groundtruth CM for part *j*: to evaluate *f*<sub>S</sub>, aggregation of the individual groundtruth CMs via a max operator:

$$\mathbf{S}_{j}^{*}(\mathbf{p}) = \max_{k} \mathbf{S}_{j,k}^{*}(\mathbf{p}).$$

Max vs average: taking the max of the CMs instead of their average allows to keep distinct the nearby peaks:



# Part Affinity Fields for Part Association/1

Given a set of detected body parts, how do we assemble them to form the limbs of an unknown number of people?

↔ Part Affinity Fields (PAFs).

► They encode both location and orientation over the support of the limb.



• Each PAF is a 2D vector field for each limb.

- ► For each **p** in the area belonging to a given limb, a 2D vector encodes the direction that points from one part of the limb to the other.
- Each limb has a corresponding PAF joining its two associated body parts.

# Part Affinity Fields for Part Association/2

Consider a single limb *c*:



- ▶  $\mathbf{x}_{j_1,k}$  and  $\mathbf{x}_{j_2,k}$  groundtruth positions of body part  $j_1$  (right elbow •) and  $j_2$  (right wrist •) from the limb *c* for person *k*.
- ► Training: to evaluate f<sub>L</sub>, define the groundtruth PAF L<sup>\*</sup><sub>c,k</sub> at an image point p as

$$\mathbf{L}_{c,k}^*(\mathbf{p}) = \begin{cases} \mathbf{v} & \text{if } \mathbf{p} \text{ is on limb } c, \text{ for person } k, \\ \mathbf{0} & \text{otherwise}, \end{cases} \qquad \mathbf{v} = \frac{\mathbf{x}_{j_2,k} - \mathbf{x}_{j_1,k}}{\|\mathbf{x}_{j_2,k} - \mathbf{x}_{j_1,k}\|_2}.$$

Groundtruth PAF for limb c: average of the groundtruth PAFs of all people in the image, i.e.,

$$\mathbf{L}_{c}^{*}(\mathbf{p}) = \frac{1}{n_{c}(\mathbf{p})} \sum_{k} \mathbf{L}_{c,k}^{*}(\mathbf{p}),$$

where  $n_c(\mathbf{p})$  is the number of nonzero vectors at  $\mathbf{p}$  across all k people.

## Part Affinity Fields for Part Association/3

• Testing: we measure the association between two candidate part locations  $\mathbf{d}_{j_1}$  and  $\mathbf{d}_{j_2}$  by computing the line integral of the corresponding PAF along the line segment connecting  $\mathbf{d}_{j_1}$  and  $\mathbf{d}_{j_2}$ ,

$$E = \int_{u=0}^{u=1} \mathbf{L}_{c}(\mathbf{p}(u)) \cdot \frac{\mathbf{d}_{j_{2}} - \mathbf{d}_{j_{1}}}{\|\mathbf{d}_{j_{2}} - \mathbf{d}_{j_{1}}\|_{2}} \, \mathrm{d}u,$$

where  $\mathbf{p}(u)$  is the parametrized segment connecting  $\mathbf{d}_{j_1}$  and  $\mathbf{d}_{j_2}$ 

$$\mathbf{p}(u) = (1-u)\mathbf{d}_{j_1} + u\mathbf{d}_{j_2}$$

→ This gives a score for each candidate limb.

How do we handle the case of multiple people in the same image?



► Due to multiple people in the image, we may have several candidates for each part (Fig. (a)). Example: we have two candidates for both *j*<sub>1</sub> (left shoulder ●), *j*<sub>2</sub> (left hand ●), and *j*<sub>3</sub> (left elbow ●).

 Each candidate is scored using the line integral computation on the PAF, i.e.,

$$E = \int_{u=0}^{u=1} \mathbf{L}_{c}(\mathbf{p}(u)) \cdot \frac{\mathbf{d}_{j_{2}} - \mathbf{d}_{j_{1}}}{\|\mathbf{d}_{j_{2}} - \mathbf{d}_{j_{1}}\|_{2}} \, \mathrm{d}u.$$

- ► Finding the optimal parse is a *K*-dimensional matching problem that is known to be NP-Hard (Fig. (c)).
- OpenPose uses a greedy relaxation that produces high-quality matches.

• Set of body part detection candidates for multiple people:

$$\mathcal{D}_{\mathcal{J}} = \{\mathbf{d}_j^m \colon \text{for } j \in \{1 \dots J\}, m \in \{1 \dots N_j\}\}.$$

► Find the pairs of part detection candidates that are connected limbs.



- ►  $z_{j_1j_2}^{mn} \in \{0, 1\}$  indicates whether two detection candidates  $\mathbf{d}_{j_1}^m$  and  $\mathbf{d}_{j_2}^n$  are connected. Example:  $z_{j_2j_3}^{12}$  for  $\mathbf{d}_{j_2}^1$  and  $\mathbf{d}_{j_3}^2$ .
- ► Goal: find the optimal assignment for the set of all possible connections

$$\mathcal{Z} = \{z_{j_1 j_2}^{mn} \colon \text{for } j_1, j_2 \in \{1 \dots J\}, m \in \{1 \dots N_{j_1}\}, n \in \{1 \dots N_{j_2}\}\}.$$

• Consider a single pair of parts  $j_1$  and  $j_2$  for the *c*th limb.



- Nodes of the graph: sets of body part detection candidates  $D_{j_1}$  and  $D_{j_2}$ .
- Edges: all possible connections between pairs of detection candidates. Plus: each edge is weighted by the affinity score  $E_{mn}$ .
- Finding the optimal association reduces to a maximum weight bipartite graph matching problem.

 $\rightsquigarrow$  A bipartite graph is a graph whose vertices can be divided into two disjoint and independent sets *U* and *V* such that every edge connects a vertex in *U* to one in *V*.

 $\rightsquigarrow$  Matching: subset of the edges chosen s.t. no two edges share a node.



► Goal: find a matching with maximum weight for the chosen edges, i.e.,

$$\max_{\mathcal{Z}_c} E_c = \max_{\mathcal{Z}_c} \sum_{m \in \mathcal{D}_{j_1}} \sum_{n \in \mathcal{D}_{j_2}} E_{mn} \cdot z_{j_1 j_2}^{mn},$$

$$\text{s.t.} \quad \forall m \in \mathcal{D}_{j_1}, \sum_{n \in \mathcal{D}_{j_2}} z_{j_1 j_2}^{mn} \leqslant 1, \qquad \forall n \in \mathcal{D}_{j_2}, \sum_{m \in \mathcal{D}_{j_1}} z_{j_1 j_2}^{mn} \leqslant 1,$$

where  $E_c$ : overall weight of the matching for limb type c,  $Z_c$ : subset of Z for limb type c,  $E_{mn}$ : part affinity score between parts  $\mathbf{d}_{j_1}$  and  $\mathbf{d}_{j_2}$ .

- The two inequalities enforce that no two edges share a node, i.e., no two limbs of the same type share a body part.
- Determining  $\mathcal{Z}$  is a NP-Hard problem.

 $\rightsquigarrow$  Add two relaxations to the optimization.

↔ Add two relaxations to the optimization problem:

- Choose a minimal number of edges to obtain a spanning tree skeleton (c) rather than using the complete graph.
- (2) Decompose the matching problem into a set of bipartite matching subproblems (d).
  → We obtain the limb connection candidates for each limb type independently.



 $\rightsquigarrow$  With all limb connection candidates, we can assemble the connections that share the same part detection candidates into full-body poses.



## Examples

~~> Latest portable version of OpenPose for Windows from https://github.com/CMU-Perceptual-Computing-Lab/openpose.







#### Conclusions

- Open source.
- Efficient while preserving accuracy.
- ► Uses 2D videos/images instead of 3D.

#### References:

- [1] Z. Cao, G. Hidalgo, T. Simon, S.-E. Wei, and Y. Sheikh, "OpenPose: realtime multi-person 2D pose estimation using part affinity fields", IEEE Trans. Pattern Anal. Mach. Intell., vol. 43, no. 1, pp. 172–186, Jan. 2021.
- [2] Z. Cao, T. Simon, S.-E. Wei, and Y. Sheikh, "Realtime multi-person 2D pose estimation using part affinity fields", in Proc. IEEE Conf. Comput. Vis. Pattern Recognit., 2017, pp. 1302–1310.

# 谢谢!